

Financial services & regulatory

< All practices

PRINT THIS PAGE

Our team gathers renowned and experienced **financial services specialists** whose combined expertise enables us to assist our clients across the **entire spectrum of financial regulation**.



Financial services expertise and guidance

We assist a wide variety of clients in financial services and regulatory matters such as:

- Banking
- Payments and e-money
- Credit and lending
- Funds and investment
- Compliance (Rules of conduct, AML, GDPR, ...)
- Technology and digitalisation
- Dispute resolution

In this heavily regulated and technical sector, our team guides its clients at every step of their journey and **handles advisory, contractual and litigation assignments**.

Our clientele is extremely diverse and includes a great variety of regulated institutions; from traditional incumbents of the financial sector such as retail and private banks, funds, credit providers and investment firms, to lighter and younger **FinTech** structures, typically active in the payments, e-money and crypto assets industry.

Compliant financial products and services

We anticipate and implement new regulations to **ensure that our clients' business and services are compliant** from the outset and on an ongoing basis. Our guidance covers the **entire spectrum of financial regulations** such as MiFID II, PSD 2, AMLD, etc.

We **monitor regulatory and market developments** to ensure that our clients' **products and services are legally sound** without losing sight of their commercial strategy and the need to remain **customer-friendly** – especially in a digital environment.

We work hand in hand with our clients to help them **build and maintain safe contractual relationships** with their clients, partners and distributors.

Assistance with the regulators and in court

Over the years, we have built an **excellent relationship with Belgian supervisors** such as the **National Bank of Belgium**, the **FSMA** and the **Federal Public Service Economy**. Our team is uniquely positioned when it comes to **helping clients interact with regulators** (licence applications, approvals of new products and communications, designing adequate governance structures, remediation post audits...).

In close cooperation with Simont Braun's **Dispute Resolution** team, our Financial Services team also offers **top notch dispute resolution services** and **assisted proceedings to the courts** before the courts subject

regularly represents its clients before the courts, arbitral

tribunals, and the Ombudsman services. We help our clients settle their disputes with customers, partners, and the authorities.

Tech-savvy

As **technology and innovation** are essential at Simont Braun, we also explore the possibilities that innovative RegTech initiatives could create for the financial services industry.

Our Financial Services practice also has in-depth experience in digital finance and is deeply connected to the FinTech industry.

LAWYERS



**Joan
CARETTE**
Managing Partner



**Philippe
DE PREZ**
Partner



**Sandrine
HIRSCH**
Partner



**Thomas
DERVAL**
Counsel



**Jorgen
FLEUSSU**
Associate



**Esra
GÜLER**
Associate



**Maroun
HOBEIKA**
Associate



**Arthur
VAN DEN BOSSCHE**
Associate



**Jean-Christophe
VERCAUTEREN**
Associate

LATEST NEWS

Payment service providers can rely on bank secrecy in case of tax audit

26 AUG 2022



Simont Braun assists Atlantic Money in obtaining licence as payment institution

25 JUL 2022



Ambiguity in insurance contracts may favour policyholders and insureds

02 JUN 2022



BNPL solutions soon impacted by a new consumer credit directive?

18 MAY 2022



New registration obligation for Virtual Asset Service Providers (VASPs) in Belgium

29 APR 2022



Simont Braun assists NewB in launching its first ethical investment fund

02 DEC 2021



[ALL NEWS](#)

RANKINGS



2022 | LEGAL 500 | REGULATORY FINANCIAL SERVICES – TIER 2

Joan Carette & Philippe De Prez | Next Generation Partners.

'Great people, very approachable and hands-on. They have a fintech attitude which is extremely helpful in this fast-paced environment which is a massive extra compared to the big "classic" law firms. They are very responsive and provide an excellent service.'



GET IN TOUCH

+32 2 543 70 80

info@simontbraun.eu

The Hype - Avenue Louise 250 (10)
1050 Brussels, Belgium

Follow Us



Contact us

SEND